

END TERM EXAMINATION

THIRD SEMESTER [MBA] JANUARY-FEBRUARY 2023

Paper Code: MS223

Subject: Financial Markets and Institutions

Time: 3 Hours

Maximum Marks: 75

Note: Attempt any five questions. All questions carry equal marks.

- Q1 Differentiate between the Primary market and Secondary market. Also, explain the steps in the Book Building process.
- Q2 Explain the link between financial market development and GDP growth. Discuss the recent SEBI regulation of reducing the trading cycle from T+2 to T+1. How will this regulation change influence the Indian as well as the foreign financial market?
- Q3 Explain the Primary functions of RBI. How the Monetary policy committee manage the liquidity in the economy.
- Q4 The continuous digitalization of financial services and money unlocks prospects for more accessible and efficient financial services, as well as economic development. Explain the following statements while keeping in mind:
- a) Financial inclusion
 - b) E-banking
 - c) Shadow Banking
- Q5 What are the key differences between an NBFC and a bank? Discuss the role of the Reserve Bank of India (RBI) in regulating NBFCs.
- Q6 Differentiate between Large cap, Mid cap, Small cap, and Flexi cap Mutual funds. If Rahul is of 30 years of age and has the following financial goals:
- a) 20 lakhs for his son's higher education in 5 years.
 - b) Buy a house of 1.5 Crore in the next 20 years.
 - c) Have at least 5 crores as his retirement fund after 30 years
- To help Rahul achieve his financial goal suggest mutual funds in which Rahul can invest to achieve his financial goals on time.
- Q7 Differentiate between Venture capital and Private equity. How factoring service helps in liquidity for businesses, also explain the parties involved in factoring.
- Q8 How do merchant bankers assist in the process of Initial coin offering (ICO)? Also, explain the recent trends and challenges faced by the merchant banking industry in India.
